



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/611,371	
	Filing Date	June 30, 2003	
	First Named Inventor	J. Flores	
	Art Unit	Unknown	
	Examiner Name	Unknown	
Total Number of Pages in This Submission		Attorney Docket Number	HRT-64858

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	October 3, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Typed or printed name	David S. Sarisky	Date	October 3, 2003
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

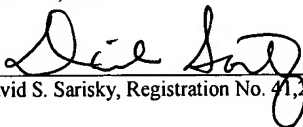
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 3, 2003.


David S. Sarisky, Registration No. 41,388

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: J. Flores

Serial No. 10/611,371

Filed: June 30, 2003

For: DUAL-PROFILE STEERABLE CATHETER

Examiner: Unknown

Group Art Unit: Unknown

Client ID/Matter No. HRT-64858

Date: October 3, 2003

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL
UNDER 37 CFR § 1.97(b)(3)
LIST OF REFERENCES CITED IN PARENT APPLICATION(S)

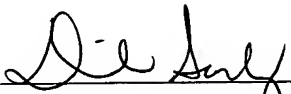
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with MPEP §609, Applicant submits a list of the references cited in the parent application(s) of the present pending application. Applicant requests that these references be considered during the examination of the pending application and that they be printed on any patent that issues from the pending application.

Because this Information Disclosure Statement has been filed before the mailing date of the first office action on the merits, no fee has been enclosed. However if a fee is due, please charge our deposit account No. 06-2425.

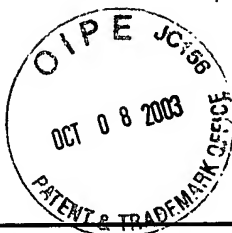
Respectfully submitted,
FULWIDER PATTON LEE & UTECHT, LLP



David S. Sarisky
Registration No. 41,288

DSS/mv
Encl: PTO form SB/08A

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, CA 90045
Telephone: (310) 824-5555
Facsimile: (310) 824-9696
Customer No.: 24201
27154.1



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
<i>(use as many sheets as necessary)</i>					
				Application Number	10/611,371
				Filing Date	June 30, 2003
				First Named Inventor	Flores
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	Of	5	Attorney Docket Number	HRT-64858

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if Known)			
		3,521,620		Cook	07/28/70	
		4,886,067		Palermo	12/12/89	
		4,921,482		Hammerslag et al.	05/01/90	
		4,979,510		Franz et al.	12/25/90	
		4,998,916		Hammerslag et al.	03/12/91	
		5,037,391		Hammerslag et al.	08/06/91	
		5,108,368		Hammerslag et al.	04/28/92	
		5,125,896		Hojeibane	06/30/92	
		5,195,968		Lundquist et al.	03/23/93	
		5,228,441		Lundquist	07/20/93	
		5,254,088		Lundquist et al.	10/19/93	
		5,273,535		Edwards et al.	12/28/93	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document			Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if Known)		
		WO	96/40344		12/19/1996	
		EP	0 774 232		05/21/1997	
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T ⁶
		GRAFTON A. SMITH, M.D. and EDWIN L. BRACKNEY, M.D., "Preliminary Report on a New Method of Intestinal Intubation with the Aid of a Flexible Stylet with Controllable Tip," Dept. of Surgery, University of Minnesota Medical School, Vol. 27, #6, June 1950, pp. 817-821				
Examiner Signature					Date Considered	



PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
<i>(use as many sheets as necessary)</i>					
				Application Number	10/611,371
				Filing Date	June 30, 2003
				First Named Inventor	Flores
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	Of	5	Attorney Docket Number	HRT-64858

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if Known)			
		5,315,996		Lundquist	05/31/96	
		5,318,525		West et al.	06/07/94	
		5,318,526		Cohen	06/07/94	
		5,322,064		Lundquist	06/21/94	
		5,327,905		Avitall	07/12/94	
		5,329,923		Lundquist	07/19/94	
		5,334,145		Lundquist et al.	08/02/94	
		5,336,182		Lundquist et al.	08/09/94	
		5,358,478		Thompson et al.	10/25/94	
		5,358,479		Wilson	10/25/94	
		5,363,861		Edwards et al.	11/15/94	
		5,368,564		Savage	11/29/94	
		5,372,587		Hammerslag et al.	12/13/94	
		5,383,852		Stevens-Wright	01/24/95	
		5,383,923		Webster, Jr.	01/24/95	
		5,385,148		Lesh et al.	01-1995	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if Known)			
		EP	1 046 406		Stevens-Wright et al.	10/25/2000	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	†6

Examiner Signature	Date Considered
-----------------------	--------------------



Substitute for form 1449A/PTO				Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT							
<i>(use as many sheets as necessary)</i>							
				Application Number	10/611,371		
				Filing Date	June 30, 2003		
				First Named Inventor	Flores		
				Group Art Unit	Unassigned		
				Examiner Name	Unassigned		
Sheet	3	Of	5	Attorney Docket Number	HRT-64858		
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code (if Known)				
		5,395,327		Lundquist et al.	03/07/95		
		5,397,304		Truckai, Csaba	03-1995		
		5,478,330		Imran et al.	12/26/95		
		5,487,757		Truckai et al.	01/30/96		
		5,489,270		van Erp	02/06/96		
		5,496,260		Krauter et al.	03/05/96		
		5,507,725		Savage et al.	04/16/96		
		5,531,686		Lundquist et al.	07/02/96		
		5,545,200		West et al.	08/13/96		
		5,603,697		Grundy et al.	02/18/97		
		5,642,736		Avitall	07/01/97		
		5,674,197		van Muiden et al.	10/07/97		
		5,687,723		Avitall	11/18/97		
		5,715,817		Stevens-Wright et al.	02-1998		
		5,755,760		Maguire et al.	05/26/98		
		5,782,828		Chen et al.	07/21/98		
		5,797,842		Pumares et al.	08/25/98		
		5,810,802		Panescu et al.	09/22/98		
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if Known)			
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					16
Examiner Signature					Date Considered		



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					
				Application Number	10/611,371
				Filing Date	June 30, 2003
				First Named Inventor	Flores
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	HRT-64858
Sheet	4	Of	5		

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if Known)			
		5,855,552		Houser et al.	01/05/99	
		5,855,560		Idaomi et al.	01/05/99	
		5,865,800		Mirarchi et al.	02/02/99	
		5,882,333		Schaer et al.	03/16/99	
		5,891,088		Thompson et al.	04/06/99	
		5,893,885		Webster, Jr.	04/13/99	
		5,916,147		Boury	06/29/99	
		5,928,191		Houser et al.	07/27/99	
		5,944,689		Houser et al.	08/31/99	
		5,984,907		McGee et al.	11/16/99	
		6,022,343		Johnson et al.	02/08/00	
		6,033,378		Lundquist et al.	03/07/00	
		6,059,778		Sherman	05/09/2000	

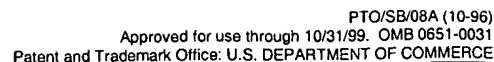
FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if Known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--



Substitute for form 1449A/PTO

Complete if Known

(use as many sheets as necessary)

(use as many sheets as necessary)				Application Number	10/611,371
				Filing Date	June 30, 2003
				First Named Inventor	Flores
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	5	Of	5	Attorney Docket Number	HRT-64858

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code (if Known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--